PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Title

UTILITY **PATENT APPLICATION TRANSMITTAL**

1-133 Attorney Docket No. ISHIZAKI First Inventor or Application Identifier

REGISTRATION AND SEARCH SYSTEM FOR OBTAINING INFORMATION ON FACILITIES

(Only for new nonprovisional applications under 37 C.F.R.§ 1.53(b))

Express Mail Label No.

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	Assistant Commissioner for Patent Box Patent Application Washington, DC 20231				
* Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) Specification [Total Pages 49] -Descriptive title of the Invention	Microfiche Computer Program (Appendix) Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) Computer Readable Copy				
-Cross Reference to Related Applications -Background of the Invention	b. Paper Copy (identical to computer copy) c. Statement verifying identity of above copies				
-Summary of the Invention	ACCOMPANYING APPLICATION PARTS				
-Brief Description of the Drawings	7. X Assignment Papers (cover sheet & document(s))				
-Detailed Description of the Preferred Embodiment -Claims	8. 37 C.F.R.§ 3.73(b) Statement (when there is an assignee)				
-Abstract of the Disclosure	9. English Translation Document (if applicable)				
3. X Drawing(s) (35 U.S.C. 113) [Total Sheets 10]	10. X Information Disclosure X Copies of IDS Statement (IDS)/PTO-1449 Citations				
4. Oath or Declaration [Total Sheets 3]	11. Preliminary Amendment				
a. X Newly executed (original or copy)	12. Return Receipt Postcard (MPEP 503) (should be specifically itemized) *Small Entity				
b. Copy from a prior application (37 C.F.R§ 1.63 (d)) (for continuation/divisional with Box 16 completed	13. Statement(s) (PTO/SB/09-12) Statement filed in prior application, Status still proper and desired				
i. DELETION OF INVENTOR(S) Signed statement attached deleting	14. X Certified Copy of Priority Document(s) (if foreign priority is claimed)				
inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).					
"NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28)					
16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:					
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)	of prior application No: Group/Art Unit:				
Prior application information: Examiner	e of the prior application, from which an oath or declaration is supplied				
reference. The incorporation can only be relied upon when a portion	under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.				
17. CORRESPOND	DENCE ADDRESS				
☑ Customer Number or Bar Code Label (Insert Customer IV:					
234	00				
Name PATENT_TRADEMARK OFFICE					
Address					
City State	Zip Code				
Country Telephone	(202) 416-1638 Fax (202) 416-1639				
Name (Print/type) DAVID G. POSZ Registration No. (Attorney/Agent) 37,701					
Signature	Date 11.13.01				

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

LAW OFFICE OF

DAVID G. POSZ

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SPECIALIZING IN PATENTS, TRADEMARKS & COPYRIGHTS

DAVID G. POSZ
KERRY S. CULPEPPER*
*ADMITTED IN VA ONLY

April 13, 2001

(202) 416-1638 FAX (202) 416-1639 POSZLAW.COM

Hon. Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

OIPE HAND DELIVERY FILING CERTIFICATE

Applicant:

ISHIZAKI

For:

REGISTRATION AND SEARCH SYSTEM FOR OBTAINING

INFORMATION ON FACILITIES

Docket:

1-133

Attorney:

David G. Posz

Date of Deposit:

April 13, 2001

I hereby certify that this certificate and the following documents are being hand delivered to, and deposited with, the USPTO at the Customer Service Window, Office of Initial Patent Examination, Crystal Plaza Building 2, Room 1B03, 2011 South Clark Place, Arlington, VA 22202 on the above-indicated date, and are addressed to the Commissioner of Patents and Trademarks/Assistant Commissioner for Patents, Washington, D.C., 20231:

- return receipt postcard;
- transmittal form (2 copies);
- fee calculation form (2 copies);
- 49 page specification including 21 numbered claims;
- 10 sheets of formal drawings;
- executed declaration/power of attorney;
- executed assignment with recordation cover sheet (3 pages total);
- IDS with PTO-1449 form and 3 references;
- check for \$1008; and
- 1 certified copy of priority document (JP 2000-113553).

David G. Posz Reg. No. 37,701

Attorney for Applicant

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FEE TRANSMITTAL for FY 2000		Complete if Known			
		Application Number			
		Filing Date	April 13, 2001		
Patent fees are subject to annual revision.		First Named Inventor	ISHIZAKI		
		Examiner Name			
		Group/Art Unit			
TOTAL AMOUNT OF PAYMENT	(\$) 1008	Attorney Docket No.	1-133		

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)					
1. The Commissioner is hereby authorized to charge indicated 3. ADDITIONAL FEES						F D
fees and credit any overpayments to:		ntity Fee	Small E	ntity Fee	Fee Description	Fee Paid
Deposit Account 50-1147	Fee Code 105	(\$)	Code 205	(\$)		
Account Number 50-1147		130 50	205	65 25	Surcharge – late filing fee or oath Surcharge – late provisional filing fee or	<u> </u>
1		50	221	25	cover sheet.	[<u> </u>
Deposit Account Name	139	130	139	130	Non-English specification	
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17		2,520	147	2,520	For filing a request for reexamination	
2. X Payment Enclosed:		920*	112	920*	Requesting publication of SIR prior to Examiner action	
Check Money Other	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
FEE CALCULATION	FEE CALCULATION 115 110 215 55 Extension for reply within first month		Extension for reply within first month			
1. BASIC FILING FEE	116	390	216	195	Extension for reply within second month	
Large Entity Small Entity	117	890	217	445	Extension for reply within third month	
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	118	1,390	218	695	Extension for reply within fourth month]
101 710 201 355 Utility filing fee 710	128	1,890	228	945	Extension for reply within fifth month	
106 320 206 160 Design filling fee	119	310	219	155	Notice of Appeal	
107 490 207 245 Plant filing fee	120	310	220	155	Filing a brief in support of an appeal	
108 710 208 355 Reissue filing fee	121	270	221	135	Request for oral hearing	
114 150 214 75 Provisional filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding	
0.1070741 (4)	140	110	240	55		
SUBTOTAL (1) (\$) 710	141 1,240 241 620 Petition to revive – unintentional					
2. EXTRA CLAIM FEES	142	1,240	242	620	Utility issue fee (or reissue)	
Fee from Extra Claims Below Fee Paid	143	440	243	220	Design issue fee	
Total Claims 21 -20**= 1 × 18 = 18	144	600	244	300	Plant issue fee	
Independent 6 - 3**= 3 × 80 = 240	122	130	122	130	Petitions to the Commissioner	
Multiple Dependent	123	50	123	50	Petitions related to provisional applications	
**or number previously paid, if greater; For Reissues, see below	126	240	126	240	Submission of information Disclosure Stmt	
Large Entity Small Entity Fee Fee Fee Fee Description Code (\$) Code (\$)	581	40	581	40	Recording each patent assignment per property (times number of properties)	40
103 18 203 9 Claims in excess of 20	146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
102 80 202 40 Independent claims in excess of 3	149	710	249	355	5 For each additional invention to be examined (37 CFR § 1.129(b))	
104 270 204 135 Multiple dependent claim, if not paid	O.h.	/av -	-:6.1)]
109 80 209 40 **Reissue independent claims over original patent	Other	ee (spe	ску) _			
110 18 210 9 **Reissue claims in excess of 20 and over original patent	Other fee (specify)					
SUBTOTAL (2) (\$) 258	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40					

SUBMITTED BY			Complete (if applicable)	
Name (Print/Type)	DAVID G. POSZ	Registration No. (Attorney/Agent) 37,701	Telephone	(202) 416-1638
Signature	TRIBEST		Date	4.13.01

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